

L^r Inequalities for Polynomials with Restricted Zeros

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Abstract: If $p(z)$ is a polynomial of degree n which does not vanish in $|z| < k$, where $k \geq 1$, then for each $r > 0$ and $1 \leq s < n$, Aziz and Rather [J. Math. Anal. Appl., 289(2004), 14-29] proved

$$\left(\frac{1}{2\pi} \int_0^{2\pi} |p^s(e^{i\theta})|^r d\theta \right)^{\frac{1}{r}} \leq \frac{n(n-1)\dots(n-s+1)}{\left(\frac{1}{2\pi} \int_0^{2\pi} |k^s + e^{i\alpha}|^r d\alpha \right)^{\frac{1}{r}}} \times \left(\frac{1}{2\pi} \int_0^{2\pi} |p(e^{i\theta})|^r d\theta \right)^{\frac{1}{r}}.$$

In this paper, we prove an improvement of this inequality which besides gives some interesting results as corollaries, includes some well-known results as special cases.

1. INTRODUCTION

Let $p(z) = \sum_{v=0}^n a_v z^v$ be a polynomial of degree n and $p'(z)$ be its derivative, then for $r > 0$,

$$\left\{ \int_0^{2\pi} |p'(e^{i\theta})|^r d\theta \right\}^{\frac{1}{r}} \leq n \left\{ \int_0^{2\pi} |p(e^{i\theta})|^r d\theta \right\}^{\frac{1}{r}}. \quad (1.1)$$

If we let $r \rightarrow \infty$ in (1.1) and make use of the well-known fact from analysis [13, 14] that

$$\lim_{r \rightarrow \infty} \left\{ \frac{1}{2\pi} \int_0^{2\pi} |p(e^{i\theta})|^r d\theta \right\}^{\frac{1}{r}} = \max_{|z|=1} |p(z)|, \quad (1.2)$$

we obtain the following inequalities

$$\max_{|z|=1} |p'(z)| \leq n \max_{|z|=1} |p(z)|, \quad (1.3)$$

Inequality (1.3) is a classical result due to Bernstein [3].

If we restrict ourselves to the class of polynomials having no zero in $|z| < 1$, then inequality (1.1) can be improved. In fact, the following results are known.

Theorem A. If is a polynomial of degree n having no zero in $|z| < 1$, then for each $r > 0$,

$$\left\{ \int_0^{2\pi} |p'(e^{i\theta})|^r d\theta \right\}^{\frac{1}{r}} \leq n C_r \left\{ \int_0^{2\pi} |p(e^{i\theta})|^r d\theta \right\}^{\frac{1}{r}}, \quad (1.4)$$

where

$$C_r = \left\{ \frac{1}{2\pi} \int_0^{2\pi} |1 + e^{i\alpha}|^r d\alpha \right\}^{-\frac{1}{r}}.$$

In (1.4), equality occurs for $p(z) = \alpha z^n + \beta$, $|\alpha| = |\beta|$.

For $r \geq 1$, Theorem A was found by de-Bruijn [4] and later independently proved by Rahman [10]. For the special case $r = 2$, it was proved by Lax [9]. Rahman and Schmeisser [11] showed that (1.4) remain valid for $0 < r < 1$ as well.

For the class of polynomials having no zero in the disc $|z| < k$, $k \geq 1$, Govil and Rahman [7] proved the following inequality (1.5) for $r \geq 1$.

Later it was shown by Gardner and Weems [6], and independently by Rather [12] that inequality (1.5) also holds for $0 < r < 1$.

Theorem B. If $p(z)$ is a polynomial of degree n having no zero in $|z| < k$, $k \geq 1$, then for $r > 0$,

$$\left\{ \int_0^{2\pi} |p'(e^{i\theta})|^r d\theta \right\}^{\frac{1}{r}} \leq n F_r \left\{ \int_0^{2\pi} |p(e^{i\theta})|^r d\theta \right\}^{\frac{1}{r}}, \quad (1.5)$$

where

$$F_r = \left\{ \frac{1}{2\pi} \int_0^{2\pi} |k + e^{i\alpha}|^r d\alpha \right\}^{-\frac{1}{r}}$$

For the same class of polynomials, Aziz and Shah [2] considered the s th derivative of $p(z)$, $1 \leq s < n$, and generalized inequality (1.5) by proving

Theorem C. *If $p(z)$ is a polynomial of degree n which does not vanish in $|z| < k$, where $k \geq 1$, then for each $r > 0$ and $1 \leq s < n$,*

$$\left(\frac{1}{2\pi} \int_0^{2\pi} |p^s(e^{i\theta})|^r d\theta \right)^{\frac{1}{r}} \leq \frac{n(n-1)\dots(n-s+1)}{\left(\frac{1}{2\pi} \int_0^{2\pi} |k^s + e^{i\alpha}|^r d\alpha \right)^{\frac{1}{r}}} \times \left(\frac{1}{2\pi} \int_0^{2\pi} |p(e^{i\theta})|^r d\theta \right)^{\frac{1}{r}} \tag{1.6}$$

By involving some coefficients and $m = \min_{|z|=k} |p(z)|$, we present a generalization and an improvement of Theorem C. More precisely, we obtain

Theorem. *If $p(z)$ is a polynomial of degree n which does not vanish in $|z| < k$, where $k \geq 1$, then for each $r > 0$, $1 \leq s < n$, and for every real or complex number β such that $|\beta| < \frac{1}{k^n}$,*

$$\left(\frac{1}{2\pi} \int_0^{2\pi} |p^s(e^{i\theta}) + \beta mn(n-1)\dots(n-s+1)e^{i\theta(n-s)}|^r d\theta \right)^{\frac{1}{r}} \leq \frac{n(n-1)\dots(n-s+1)}{\left(\frac{1}{2\pi} \int_0^{2\pi} |\delta_{k,s} + e^{i\alpha}|^r d\alpha \right)^{\frac{1}{r}}} \left(\frac{1}{2\pi} \int_0^{2\pi} |p(e^{i\theta}) + \beta me^{i\theta n}|^r d\theta \right)^{\frac{1}{r}} \tag{1.7}$$

where

$$m = \min_{|z|=k} |p(z)|$$

and

$$\delta_{k,s} = \left\{ \frac{c(n,s)|a_0|k^{s+1} + |a_s|k^{2s}}{c(n,s)|a_0| + |a_s|k^{s+1}} \right\} \text{ with } c(n,s) = \frac{n!}{s!(n-s)!}$$

Remark 1. If we put $\beta = 0$, our theorem directly reduces to the following result proved by Aziz and Rather [1] and is an improvement of inequality (1.6) due to Aziz and Shah [2].

Corollary 1. *If $p(z)$ is a polynomial of degree n which does not vanish in $|z| < k$, where $k \geq 1$, then for each $r > 0$ and $1 \leq s < n$,*

$$\left(\frac{1}{2\pi} \int_0^{2\pi} |p^s(e^{i\theta})|^r d\theta \right)^{\frac{1}{r}} \leq \frac{n(n-1)\dots(n-s+1)}{\left(\frac{1}{2\pi} \int_0^{2\pi} |\delta_{k,s} + e^{i\alpha}|^r d\alpha \right)^{\frac{1}{r}}} \times \left(\frac{1}{2\pi} \int_0^{2\pi} |p(e^{i\theta})|^r d\theta \right)^{\frac{1}{r}} \tag{1.8}$$

where

$$\delta_{k,s} = \left\{ \frac{c(n,s)|a_0|k^{s+1} + |a_s|k^{2s}}{c(n,s)|a_0| + |a_s|k^{s+1}} \right\} \text{ with } c(n,s) = \frac{n!}{s!(n-s)!}$$

Remark 2. Taking limit of both sides of (1.7) as $r \rightarrow \infty$, we have

$$\max_{|z|=1} |p^s(z) + \beta mn(n-1)\dots(n-s+1)z^{n-s}| \leq \frac{n(n-1)\dots(n-s+1)}{\delta_{k,s} + 1} \times \max_{|z|=1} |p(z) + \beta mz^n| \leq \frac{n(n-1)\dots(n-s+1)}{\delta_{k,s} + 1} \times \left\{ \max_{|z|=1} |p(z)| + |\beta|m \right\} \tag{1.9}$$

If we choose z_0 such that $\max_{|z|=1} |p^s(z)| = |p^s(z_0)|$. It is clear that

$$|p^s(z_0) + \beta mn(n-1)\dots(n-s+1)z_0^{n-s}| \leq \max_{|z|=1} |p^s(z) + \beta mn(n-1)\dots(n-s+1)z^{n-s}|$$

and hence (1.9), in particular, gives

$$|p^s(z_0) + \beta mn(n-1)\dots(n-s+1)z_0^{n-s}| \leq \frac{n(n-1)\dots(n-s+1)}{\delta_{k,s} + 1} \left\{ \max_{|z|=1} |p(z)| + |\beta|m \right\} \tag{1.10}$$

Now choosing the argument of β in (1.10) suitably such that

$$\begin{aligned} & \left| p^s(z_0) + \beta mn(n-1)\dots(n-s+1)z_0^{n-s} \right| \\ &= \left| p^s(z_0) \right| + |\beta| mn(n-1)\dots(n-s+1) \end{aligned}$$

Then inequality (1.10) becomes

$$\begin{aligned} \left| p^s(z_0) \right| &\leq \frac{n(n-1)\dots(n-s+1)}{\delta_{k,s} + 1} \\ &\times \left\{ \max_{|z|=1} |p(z)| + |\beta|m \right\} - |\beta| mn(n-1)\dots(n-s+1), \end{aligned}$$

which is equivalent to

$$\begin{aligned} \max_{|z|=1} |p^s(z)| &\leq \frac{n(n-1)\dots(n-s+1)}{\delta_{k,s} + 1} \max_{|z|=1} |p(z)| \\ &- \left\{ 1 - \frac{1}{\delta_{k,s}} \right\} n(n-1)\dots(n-s+1) |\beta|m \end{aligned}$$

Finally, letting $|\beta| \rightarrow \frac{1}{k^n}$, we obtain

$$\begin{aligned} \max_{|z|=1} |p^s(z)| &\leq \left\{ \frac{c(n,s)|a_0| + |a_s|k^{s+1}}{c(n,s)|a_0|(1+k^{s+1}) + |a_s|(k^{s+1} + k^{2s})} \right\} \\ &\times n(n-1)\dots(n-s+1) \max_{|z|=1} |p(z)| \\ &- \left\{ \frac{c(n,s)|a_0|k^{s+1} + |a_s|k^{2s}}{c(n,s)|a_0|(1+k^{s+1}) + |a_s|(k^{s+1} + k^{2s})} \right\} \\ &\times n(n-1)\dots(n-s+1) \frac{m}{k^n}. \end{aligned} \tag{1.11}$$

Remark 3. Inequality (1.11) improves upon the following result due to Aziz and Rather [1].

Corollary 2. If $p(z) = \sum_{v=0}^n a_v z^v$ is a polynomial of degree n which does not vanish in $|z| < k$, where $k \geq 1$, then

$$\begin{aligned} \max_{|z|=1} |p^s(z)| &\leq \left\{ \frac{c(n,s)|a_0| + |a_s|k^{s+1}}{c(n,s)|a_0|(1+k^{s+1}) + |a_s|(k^{s+1} + k^{2s})} \right\} \\ &\times \max_{|z|=1} |p(z)|. \end{aligned} \tag{1.12}$$

Remark 4. For $s = 1$, (1.12) becomes

$$\begin{aligned} \max_{|z|=1} |p'(z)| &\leq \left\{ \frac{n|a_0| + |a_1|k^2}{n|a_0|(1+k^2) + 2|a_1|k^2} \right\} n \max_{|z|=1} |p(z)| \\ &- \left\{ \frac{n|a_0|k^2 + |a_1|k^2}{n|a_0|(1+k^2) + 2|a_1|k^2} \right\} n \frac{m}{k^n} \end{aligned}$$

which gives an improvement of the following result due to Govil et al. [8].

Corollary 3. If $p(z) = \sum_{v=0}^n a_v z^v$ is a polynomial of degree n which does not vanish in $|z| < k$, where $k \geq 1$, then

$$\max_{|z|=1} |p'(z)| \leq \left\{ \frac{n|a_0| + |a_1|k^2}{n|a_0|(1+k^2) + 2|a_1|k^2} \right\} n \max_{|z|=1} |p(z)|.$$

2. Lemma

For the proof of the theorem, we require the following lemmas.

Lemma 2.1. If $p(z)$ is a polynomial of degree n and $q(z) = z^n \overline{p\left(\frac{1}{z}\right)}$, then for each α , $0 \leq \alpha < 2\pi$ and $r > 0$,

$$\int_0^{2\pi} \int_0^{2\pi} |q'(e^{i\theta}) + e^{i\alpha} p'(e^{i\theta})|^r d\theta d\alpha \leq 2\pi n^r \int_0^{2\pi} |p(e^{i\theta})|^r d\theta. \tag{2.1}$$

The above lemma is due to Aziz and Rather [1].

Lemma 2.2. Let z be complex and independent of α , where α is real, then for $r > 0$,

$$\int_0^{2\pi} |1 + ze^{i\alpha}|^r d\alpha = \int_0^{2\pi} |e^{i\alpha} + z|^r d\alpha. \tag{2.2}$$

This lemma is due to Govil [5].

Lemma 2.3. If $p(z)$ is a polynomial of degree n which does not vanish in $|z| < k$, where $k \geq 1$, then for $1 \leq s < n$, and $|z|=1$,

$$\delta_{k,s} |p^{(s)}(z)| \leq |q^{(s)}(z)|, \tag{2.3}$$

where

$$\delta_{k,s} = \left\{ \frac{c(n,s)|a_0|k^{s+1} + |a_s|k^{2s}}{c(n,s)|a_0| + |a_s|k^{s+1}} \right\}$$

with $c(n,s) = \frac{n!}{s!(n-s)!}$.

Proof of the Theorem

Since β , a real or complex number such that $|\beta| < \frac{1}{kn}$, therefore on $|z| = k$,

$$|m\beta z^n| = m|\beta|k^n < m = \min_{|z|=k} |p(z)|.$$

By Rouché's theorem, the polynomial $P(z) = p(z) + m\beta z^n$ will have no zero in $|z| < k$, $k \geq 1$. Further, the case for $m = 0$ is trivially true.

Let $F(z) = Q(z) + e^{i\alpha}P(z)$, $\alpha \in \mathfrak{R}$ where $Q(z) = z^n \overline{P\left(\frac{1}{z}\right)}$ the reciprocal polynomial is. Then $F(z)$ is a polynomial of degree n and $F^{(s)}(z) = Q^{(s)}(z) + e^{i\alpha}P^{(s)}(z)$ is a polynomial of degree $n-s$. By repeated application of inequality (1.1), it follows for each $r > 0$,

$$\begin{aligned} \int_0^{2\pi} |Q^{(s)}(e^{i\theta}) + e^{i\alpha}P^{(s)}(e^{i\theta})|^r d\theta &\leq (n-s+1)^r \\ &\times \int_0^{2\pi} |Q^{(s-1)}(e^{i\theta}) + e^{i\alpha}P^{(s-1)}(e^{i\theta})|^r d\theta \\ &\leq (n-s+1)^r (n-s+2)^r \dots (n-1)^r \\ &\times \int_0^{2\pi} |Q'(e^{i\theta}) + e^{i\alpha}P'(e^{i\theta})|^r d\theta. \end{aligned} \tag{3.1}$$

Integrating (3.1) with respect to α on $[0, 2\pi]$, and using lemma 2.1, we get

$$\begin{aligned} \int_0^{2\pi} |Q^{(s)}(e^{i\theta}) + e^{i\alpha}P^{(s)}(e^{i\theta})|^r d\theta &\leq (n-s+1)^r (n-s+2)^r \dots \\ &\times (n-1)^r \int_0^{2\pi} \int_0^{2\pi} |Q'(e^{i\theta}) + e^{i\alpha}P'(e^{i\theta})|^r d\theta d\alpha \\ &\leq (n-s+1)^r (n-s+2)^r \dots (n-1)^r n^r \int_0^{2\pi} |P(e^{i\theta})|^r d\theta. \end{aligned} \tag{3.2}$$

By lemma 2.3, we have for $1 \leq s < n$, for $|z|=1$,

$$\delta_{k,s} |P^{(s)}(z)| \leq |Q^{(s)}(z)|, \tag{3.3}$$

where $\delta_{k,s} \geq 1$ is as defined in lemma 2.3. It can be easily verified that for every real number α and $R \geq R' \geq 1$, $|R + e^{i\alpha}| \geq |R' + e^{i\alpha}|$.

This implies for each $r > 0$,

$$\int_0^{2\pi} |R + e^{i\alpha}|^r d\alpha \geq \int_0^{2\pi} |R' + e^{i\alpha}|^r d\alpha.$$

For points $e^{i\theta}$, $0 \leq \theta < 2\pi$, for which $P^{(s)}(e^{i\theta}) \neq 0$, we take

$$R = \frac{|Q^{(s)}(e^{i\theta})|}{|P^{(s)}(e^{i\theta})|} \text{ and } R' = \delta_{k,s}, \text{ then by (3.3), } R \geq R' \geq 1,$$

$$\begin{aligned} \int_0^{2\pi} |Q^{(s)}(e^{i\theta}) + e^{i\alpha}P^{(s)}(e^{i\theta})|^r d\alpha &= |P^{(s)}(e^{i\theta})|^r \int_0^{2\pi} \left| \frac{Q^{(s)}(e^{i\theta})}{P^{(s)}(e^{i\theta})} + e^{i\alpha} \right|^r d\alpha \\ &\geq |P^{(s)}(e^{i\theta})|^r \int_0^{2\pi} |\delta_{k,s} + e^{i\alpha}|^r d\alpha \end{aligned} \tag{3.4}$$

Also for points $e^{i\theta}$, $0 \leq \theta < 2\pi$, for which $P^{(s)}(e^{i\theta}) = 0$, inequality (3.4) follows trivially. Using (3.2) in (3.4), it is concluded that for each $r > 0$,

$$\begin{aligned} \int_0^{2\pi} |\delta_{k,s} + e^{i\alpha}|^r d\alpha \int_0^{2\pi} |P^{(s)}(e^{i\theta})|^r d\theta &\leq (n-s+1)^r (n-s+2)^r \dots \\ &\times (n-1)^r n^r 2\pi \int_0^{2\pi} |P(e^{i\theta})|^r d\theta \end{aligned} \tag{3.5}$$

On replacing $P(z)$ in (3.5) by $p(z) + m\beta z^n$, we have

$$\begin{aligned} &\left(\frac{1}{2\pi} \int_0^{2\pi} |p^s(e^{i\theta}) + \beta mn(n-1)\dots(n-s+1)e^{i(n-s)\theta}|^r d\theta \right)^{\frac{1}{r}} \\ &\leq \frac{n(n-1)\dots(n-s+1)}{\left(\frac{1}{2\pi} \int_0^{2\pi} |\delta_{k,s} + e^{i\alpha}|^r d\alpha \right)^{\frac{1}{r}}} \left(\frac{1}{2\pi} \int_0^{2\pi} |p(e^{i\theta}) + \beta me^{i\theta n}|^r d\theta \right)^{\frac{1}{r}} \end{aligned}$$

This proves the Theorem.

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